

Withdrawal/Redaction Sheet

Clinton Library

DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
001. note	notes related to appointment. (1 page)	c. 3/1998	P2, P5, P6/b(6)
002. form	OGE-450. [5 USC app. §107(a)] (2 pages)	11/28/1998	P3/b(3), P4/b(4), P6/b(6)
003. memo	To Amy Comstock from Annette Buchanan. Candidate: Ann Z. Caracristi. [partial] (2 pages)	10/19/1999	P6/b(6)
004. form	OGE-450. [5 USC app. §107(a)] (2 pages)	11/28/1998	P3/b(3), P4/b(4), P6/b(6)
005. report	Response to the Personal Data Statement Questionnaire. (2 pages)	n.d.	P6/b(6)
006. report	SF-86. (11 pages)	10/08/1998	P6/b(6)
007. memo	Internal Revenue Service Memorandum. [26 USC 1603] (1 page)	05/24/1999	P3/b(3)
008. form	White House Tax Check Waiver. [partial] (2 pages)	05/14/1999	P6/b(6)
009. note	Note for the File. (1 page)	05/11/1999	P2, P5
010. memo	For Lisa Winston from Stephen Mancuso. Subject: Results of Interview with Ann Caracristi. (2 pages)	02/03/1999	P6/b(6)
011a. memo	Ann Z. Caracristi Candidate for Member (PA) (1 page)	12/29/1998	P2, P5
011b. memo	Ann Z. Caracristi, Candidate for Member President's Foreign Intelligence Advisory Board (1 page)	03/30/1993	P2, P5

COLLECTION:

Clinton Presidential Records
Counsel's Office
Jane Schaffner
OA/Box Number: CF 1636

FOLDER TITLE:

Caracristi, Ann [1]

CLINTON LIBRARY PHOTOCOPY

Van Zbinden
2006-1007-F
vz378

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
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C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
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012a. form	White House Office of Counsel to the President Interview of Proposed Presidential Sub-Cabinet Appointee. (10 pages)	1999	P6/b(6)
012b. note	Handwritten notes from interview with Ann Caracristi. (1 page)	1999	P6/b(6)
013. form	Form I-9 (Rev. 11-21-91) (1 page)	01/28/1999	P6/b(6)
014. memo	To Amy Comstock and Mark Childress from Matthew Willis. Subject: PA Appointment at President's Foreign Intelligence Advisory Board. (1 page)	11/06/1998	P6/b(6)
015a. memo	Duplicate of 011a. (1 page)	12/29/1998	P2, P5
015b. memo	Duplicate of 011b. (1 page)	03/30/1993	P2, P5
016. report	Search Terms. [Pages 1 and 16] (2 pages)	05/05/1999	P2, P5

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MOC
5/11/99

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Talked to Caracristi today about HHH. She had somebody for 5 weeks earlier this year (she sent us I9) but the person left because she got a full-time job. The only other person she had was more than ten years ago. Part-time and was legal. Paid SS. No worries as far as hhh.

Privileged and Confidential

**ANN Z. CARACRISTI
Candidate for Member (PA),
President's Foreign Intelligence Advisory Board**

Ann Caracristi, has been a member of the President's Foreign Intelligence Advisory Board (PFIAB) since 1993. She is a cryptology expert and career intelligence officer who retired in 1981 as Deputy Director of the National Security Agency.¹

Criticism of Report Appraising U.S. Intelligence

In September 1996, an article in the BULLETIN OF THE ATOMIC SCIENTISTS criticized the work of a blue ribbon panel, of which Ms. Caracristi was a member, that had been established by Congress to reexamine the roles and missions of U.S. intelligence. The Commission on Roles and Capabilities of the United States Intelligence Community was created in 1994, reportedly during a time of intense Congressional criticism of the Central Intelligence Agency and amid calls for radical reform of the U.S. intelligence apparatus. However, according to the article, "the commission was packed with intelligence community professionals," including Ms. Caracristi, and "the intelligence community dug in its heels" against real reforms. The article concluded that "[t]hese former CIA, NSA, and NRO professionals [were] immersed in the culture of intelligence and were little disposed to question traditional roles and missions." While there is no specific criticism of Ms. Caracristi, the commission was criticized for failing "to provide guidance on the most central of the issues it was created to examine."

Aside from the above, a limited review of the available public record revealed no information that might bear negatively on Ms. Caracristi's candidacy, generate controversy, or disqualify her from continuing to serve on the PFIAB.

December 29, 1998

¹ This is an update of an earlier public record vet, dated March 30, 1993. For additional issues and biographical information, please see attached.

~~Privileged and Confidential~~

DETERMINED TO BE AN ADMINISTRATIVE
MARKING Per E.O. 12958 as amended, Sec. 3.2 (c)
Initials: VZ Date: 09/15/2010
2006-1007-F

ANN Z. CARACRISTI
Candidate for Member of the
Presidential Foreign Intelligence Advisory Board

Ann Caracristi, 72, is a cryptology expert and career intelligence officer who retired in 1981 as Deputy Director of the National Security Agency.

Ms. Caracristi is a graduate of Russell Sage College and of the Federal Executive Institute. There is scant information in the public record about her forty-year career at the highly secretive N.S.A., although it was reported that her 1980 appointment as Deputy Director made her the highest-ranking woman intelligence officer in the world. The Washington Post reported in 1978 that she was one of ten federal bureaucrats honored that year for outstanding career service by the National Civil Service League.

Ms. Caracristi currently serves as a consultant to the N.S.A. Scientific Advisory Board and as a member of the Board of Visitors of the Defense Language Institute Foreign Language Center, and she recently chaired the Director of Central Intelligence's Task Force on Intelligence Community Training. She is also past President of the Association of Former Intelligence Officers and a member of the Defense and Space Advisory Council of Ultrasystems, Inc., an Irvine, California-based defense contractor.

In 1981, Ms. Caracristi testified, along with then-Director of Central Intelligence William J. Casey, in favor of legislation further exempting the intelligence community from the reach of the Freedom of Information Act, in hearings before the Subcommittee on the Constitution of the Senate Judiciary Committee. Ms. Caracristi explained that although the N.S.A. had not yet lost a lawsuit under the FOIA, the very process of defending such a suit required disclosure of top-secret information to individuals with "no need to know." One year earlier, Ms. Caracristi testified before House and Senate committees in support of legislation creating a "Senior Cryptologic Executive Service," modeled on the Senior Executive Service, to recognize top civil servants in the N.S.A.

A review of the public record does not reveal any information that bears negatively on Ms. Caracristi's ability to serve on the Foreign Intelligence Advisory Board.

March 30, 1993

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File —
Ann
Caracristi

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Initials: VZ Date: 07/15/2012

2006-1007 -F

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A review of the public record does not reveal any information that bears negatively on Ms. Caracristi's ability to serve on the Foreign Intelligence Advisory Board.

March 30, 1993

DATE: MAY 5, 1999

CLIENT: FVDSREW
LIBRARY: NEWS
FILE: ALLNWS

YOUR SEARCH REQUEST IS:
CARACRISTI
AND (CHINA OR CHINESE OR SAT!)

NUMBER OF STORIES FOUND WITH YOUR REQUEST THROUGH:
LEVEL 1... 126 LEVEL 2... 23

CLINTON LIBRARY PHOTOCOPY

DATE: MAY 5, 1999

CLIENT: FVDSREW
LIBRARY: NEWS
FILE: ALLNWS

YOUR SEARCH REQUEST IS:

ROBERT /2 HERMANN AND ((UNITED /2 TECH!) OR (CHINA OR CHINESE OR SATTEL!))

NUMBER OF STORIES FOUND WITH YOUR REQUEST THROUGH:

LEVEL 1... 142

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001. memo	Internal Revenue Service Memorandum. [26 USC 6103] (1 page)	05/14/1993	P3/b(3), P6/b(6)
002. memo	Internal Revenue Service Memorandum. [26 USC 6103] (2 pages)	05/14/1993	P3/b(3), P6/b(6)
003. form	SF-450 [5 USC app. §107(a)] (2 pages)	04/17/1993	P3/b(3), P4/b(4), P6/b(6)
004. memo	For Bill Kennedy and Beth Nolan from Frank Sobol. Subject: Ann Z. Caracristi SF-450 Review. (3 pages)	06/23/1993	P2, P5
005. note	Notes for Memorandum. (3 pages)	n.d.	P2, P5
006. memo	For Bill Kennedy and Beth Nolan from Frank Sobol. Subject: Questions from the President's Foreign Intelligence Advisory Board. [with marginalia] (2 pages)	06/21/1993	P2, P5
007. memo	Duplicate of 006. [without marginalia] (2 pages)	06/21/1993	P2, P5
008. form	SF-86. (12 pages)	04/17/1993	P6/b(6)
009a. form	Interview Completion Form. (1 page)	04/28/1993	P6/b(6)
009b. form	Interview Completion Form. (1 page)	04/28/1993	P6/b(6)
009c. memo	Personal Data Statement Questionnaire. (3 pages)	04/16/1993	P6/b(6)
009d. form	SF-86. (12 pages)	04/17/1993	P6/b(6)

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010. form	Acknowledgment and Consent. [partial] (1 page)	04/17/1993	P6/b(6)

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Gene Jates PFIAB

6

General Problem for Member: 332

Beth - Who are the 13 people
Scheduled Meeting for 15-16 July all 13 people
Want people sworn in at Meeting by VP

Board - EO: Assess Qual / Quant / Adeq. of Intell
Coll / Analysis / Estimate / Intell activities

by CIA analyze - report to Pres. with
recommendations for action on intelligence
gathering.

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4 Bush Bd did 14 reports
eg how well we did during Gulf War
What kinds of intell system invest in
in future.

General Terms / Board Policy /
Sometimes review specific systems
from specific companies.

When does happen - eg for Bush Board
Board members on defense ^{and/or} Boards
on " " Directors
as Consultants to Def. Cabinet

Gov. Waiver - eg
CW / unusual language
C. G. Holden and Ethel B. Smith

Had attachment
with text.

Sanct. Blanket
in OPP.

How
hit does
happen

NB

Questions
Waring as public doc
when 450 met
public

Herman - Inf. Service Board

→ Could develop other screen process

Board may want to have a formal process recommended

Crowe - Utahans - Company

Wants Adm. as a consultant

Appeared before Govt and Jjim

Adm. talked to Bill/Beth

Still seeking an answer

Adm. lawyer proposed modified

approach - ~~to~~ alt. view that Beth has.

§ 219
Problem

Does Jats have to be reappointed w/ 278 review?
[appointment still running.]

What about 278 for May 15 filing.
When is it?

Meets 2 day 6 times a year 12 days per year
sub parts have the meets.
less than 60 days a year - SGE

History / Politics
to explore
inherent & actual
in politics / education
deep involvement with
Union & working
people

Community work

Charles W. Davis
Artistic. President of
Boilermakers, Iron Works
Builders, Steelworkers,
Foremost Helper,

753 State Ave
Kansas City, Missouri
66101

General Steward for
Boilermakers of the
Railroad
Represent Boilermakers
in Western State